

Individual Self-Certification

Relevant for individual customers and sole traders for
FATCA and CRS purposes

Please read the instructions on the next page before completing this form.

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|-----------------------------------|--|---|--|
| Account Holder Information | Please complete below listed fields with respect to the Individual Account Holder. | | |
| | Last name | First name | Date of Birth (yyyymmdd-xxxx) |
| | Current Residence Address (Name of Street and Number) | | Country of Address |
| | Postal Code/ZIP Code | Town/City/Province/County/State | |
| Country of Tax Residence | Please state information with respect to all countries of residence for tax purposes. If you have any questions about how to determine your tax residency status you should contact a tax adviser or local tax authority. | | |
| | Country of Tax Residence | Taxpayer Identification Number (TIN)/Equivalent | <input type="checkbox"/> Country does not Issue TIN/Equivalent |
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| FATCA Related | Please state information with respect to citizenship and/or tax residence in the United States. | | |
| | U.S. Citizenship/Residency Please select one of the alternatives by ticking the appropriate box below. A <input type="checkbox"/> I hereby certify that I am a U.S. citizen and/or that I am a tax resident of the U.S., and that I have stated the U.S. as one of the countries in the section above B <input type="checkbox"/> I hereby certify that I am not a U.S. citizen, and neither am I a tax resident in the U.S. | | |
| Declaration and Signature | I hereby confirm that the information in this form is correct and complete. I accept that the information may be used in relation to reporting to the tax authorities in accordance with the rules applicable from time to time. Information about collection, disclosure and other processing of personal and customer information can be found in Nordea's General terms and conditions for personal and corporate customers, respectively. In the event of changes to the information provided I will contact the bank within 30 days for the purpose of updating the form. | | |
| | Date (DD-MM-YYYY) | | |
| | Signature | | |
| | Name in print | | |

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Instructions/definitions

Based on the OECD Common Reporting Standard (CRS), U.S. FATCA, and national legislation, Nordea is required to collect and report certain information about an account holder's tax residency to the local competent authority in order to counter tax evasion in different countries.

If your tax residence is outside Denmark Nordea is legally obliged to pass on the information in this form to the Danish Tax Authority and subsequently also report information about financial accounts held by you as a customer on an annual basis.

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| Account Holder | The "Account Holder" is the person listed or identified as the holder of a Financial Account or other agreement with respect to a financial product by the Financial Institution that maintains the account or such agreement of a financial product. |
| CRS | CRS is the Common Reporting Standard issued by the OECD, under which countries that have concluded Competent Authority Agreements (i.e. an agreement which affects the local tax administration) must exchange financial account information between jurisdictions. |
| FATCA | FATCA is an abbreviation of Foreign Account Tax Compliance Act. This United States tax legislation is aimed at identifying U.S. Persons that hold account(s) or financial assets outside of the United States. |
| Financial Account | A Financial Account is an account maintained by a Financial Institution and includes: Depository Accounts (e.g. checking or savings account); Custodial Accounts (e.g. an account where you hold financial assets); Equity and debt interest in certain Investment Entities; Cash Value Insurance Contracts; and Annuity Contracts. |
| Tax Residency | <p>Individuals who reside in Denmark, who stay in Denmark continuously (for six months or more) or who have previously been resident in, and still have close ties to, Denmark, are generally tax resident in Denmark and liable to income tax and must report their worldwide income.</p> <p>When is an individual tax resident in another country?</p> <p>In general, the tax residence is the same as the permanent residence or whereabouts. However, each country has its own set of rules for determining tax residence. For more information about tax residency rules in a certain jurisdiction, please visit the OECD Automatic Exchange Portal at: http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-residency/#d.en.347760.</p> <p>If you have any questions about how to determine your tax residency status you should consult a tax advisor or your local tax authority.</p> |
| Taxpayer Identification Number (TIN) | The term Taxpayer Identification Number (TIN) or a functional equivalent in the absence of a TIN is a unique combination of letters or numbers assigned by a jurisdiction to an individual and is used to identify the individual for the purposes of administering the tax laws of such jurisdiction. Some jurisdictions do not issue a TIN. However, these jurisdictions often utilize some other high integrity number with an equivalent level of identification. Examples of a TIN include a social security/insurance number, citizen/personal identification/service code/number, and resident registration number. Further details on jurisdiction specific TIN formats can be found at: http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/tax-identification-numbers/#d.en.347759 . |
| U.S. Citizenship/Residency | You are generally considered to have U.S. Tax Residency if you are resident in the United States; are a U.S. Citizen (including those with dual citizenships); if you are a holder of a U.S. Residence; or have a valid work permit ("Green Card"). |